

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF IOWA
EASTERN DIVISION**

UNITED STATES OF AMERICA,

Plaintiff,

vs.

JULIAN REYES FERRER-
HERNANDEZ, *also known as* Richard
Elias Caseres, *also known as* Julian
Hernandez M.,

Defendant.

No. 12-CR-2012-LRR

FINAL JURY INSTRUCTIONS

Ladies and Gentlemen of the Jury:

The instructions I gave you at the beginning of the trial and during the trial remain in effect. I will now give you some additional instructions.

You must, of course, continue to follow the instructions I gave you earlier, as well as those I give you now. You must not single out some instructions and ignore others, because all are important. This is true even though some of those I gave you at the beginning of and during trial are not repeated here.

The instructions I am about to give you now are in writing and will be available to you in the jury room. I emphasize, however, that this does not mean they are more important than my earlier instructions. Again, all instructions, whenever given and whether in writing or not, must be followed.

INSTRUCTION NO. 1

In considering these instructions, attach no importance or significance whatsoever to the order in which they are given.

INSTRUCTION NO. 2

Neither in these instructions nor in any ruling, action or remark that I have made during this trial have I intended to give any opinion or suggestion as to what the facts are or what your verdicts should be.

INSTRUCTION NO. 3

It is your duty to find from the evidence what the facts are. You will then apply the law, as I give it to you, to those facts. You must follow my instructions on the law, even if you thought the law was different or should be different.

Do not allow sympathy or prejudice to influence you. The law demands of you just verdicts, unaffected by anything except the evidence, your common sense and the law as I give it to you.

INSTRUCTION NO. 4

I have mentioned the word “evidence.” The “evidence” in this case consists of the following: the testimony of the witnesses and the documents and other things received as exhibits.

You may use reason and common sense to draw deductions or conclusions from facts which have been established by the evidence in the case.

Certain things are not evidence. I shall list those things again for you now:

1. Statements, arguments, questions and comments by the lawyers are not evidence.
2. The fact that an interpreter was used in this trial is not evidence.
3. Anything that might have been said by jurors, the attorneys or the judge during the jury selection process is not evidence.
4. Objections are not evidence. The parties have a right to object when they believe something is improper. You should not be influenced by the objection. If I sustained an objection to a question, you must ignore the question and must not try to guess what the answer might have been.
5. Testimony that I struck from the record, or told you to disregard, is not evidence and must not be considered.
6. Anything you saw or heard about this case outside the courtroom is not evidence.

During the trial, documents were referred to but they were not admitted into evidence and, therefore, they will not be available to you in the jury room during deliberations.

Finally, if you were instructed that some evidence was received for a limited purpose only, you must follow that instruction.

INSTRUCTION NO. 5

There are two types of evidence from which a jury may properly find the truth as to the facts of a case: direct evidence and circumstantial evidence. Direct evidence is the evidence of the witnesses to a fact or facts of which they have knowledge by means of their senses. The other is circumstantial evidence—the proof of a chain of circumstances pointing to the existence or nonexistence of certain facts. The law makes no distinction between direct and circumstantial evidence. You should give all evidence the weight and value you believe it is entitled to receive.

INSTRUCTION NO. 6

The jurors are the sole judges of the weight and credibility of the testimony and the value to be given to the testimony of each witness who has testified in this case. In deciding what the facts are, you may have to decide what testimony you believe and what testimony you do not believe. You may believe all of what a witness said, or only part of it or none of it.

In deciding what testimony to believe, consider the witness's intelligence, the opportunity the witness had to have seen or heard the things testified about, the witness's memory, any motives that witness may have for testifying a certain way, the manner of the witness while testifying, whether that witness said something different at an earlier time, the general reasonableness of the testimony and the extent to which the testimony is consistent with any evidence that you believe.

In deciding whether or not to believe a witness, keep in mind that people sometimes hear or see things differently and sometimes forget things. You need to consider, therefore, whether a contradiction is an innocent misrecollection or lapse of memory or an intentional falsehood, and that may depend on whether it has to do with an important fact or only a small detail.

INSTRUCTION NO. 7

In a previous instruction, I instructed you generally on the credibility of witnesses. I now give you this further instruction on how the credibility of a witness can be “impeached.”

A witness may be discredited or impeached by contradictory evidence; by showing that the witness testified falsely concerning a material matter; by showing the witness has a motive to be untruthful; or by evidence that at some other time the witness has said or done something, or has failed to say or do something, that is inconsistent with the witness’s present testimony.

INSTRUCTION NO. 8

Exhibits have been admitted into evidence and are to be considered along with all of the other evidence to assist you in reaching your verdicts. You are not to tamper with the exhibits or their contents, and you should leave the exhibits in the jury room in the same condition as they were received by you.

INSTRUCTION NO. 9

You have heard testimony from persons described as experts. Persons who, by knowledge, skill, training, education or experience, have become experts in some field may state their opinions on matters in that field and may also state the reasons for their opinions.

Expert testimony should be considered just like any other testimony. You may accept it or reject it, and give it as much weight as you think it deserves, considering the witness's education and experience, the soundness of the reasons given for the opinion, the acceptability of the methods used and all the other evidence in the case.

INSTRUCTION NO. 10

You have heard testimony that the defendant made statements to law enforcement.

It is for you to decide:

First, whether the defendant made the statements; and

Second, if so, how much weight you should give to them.

In making these two decisions, you should consider all of the evidence, including the circumstances under which the statements may have been made.

INSTRUCTION NO. 11

Reasonable doubt is doubt based upon reason and common sense, and not doubt based on speculation. A reasonable doubt may arise from careful and impartial consideration of all the evidence, or from a lack of evidence. Proof beyond a reasonable doubt is proof of such a convincing character that a reasonable person, after careful consideration, would not hesitate to rely and act upon that proof in life's most important decisions. Proof beyond a reasonable doubt is proof that leaves you firmly convinced of the defendant's guilt. Proof beyond a reasonable doubt does not mean proof beyond all possible doubt.

INSTRUCTION NO. 12

The Indictment in this case charges the defendant with five separate crimes.

Under Count 1, the Indictment charges that, on about May 19, 2008, in the Northern District of Iowa, the defendant, for the purpose of obtaining employment, a thing of value or for other purposes, did, with the intent to deceive, falsely represent a Social Security account number with the last four digits "0563" to be the account number lawfully assigned to him by the Commissioner of Social Security, when, in fact, such number had not been assigned to him by the Commissioner of Social Security.

Under Count 2, the Indictment charges that, on about May 19, 2008, in the Northern District of Iowa, the defendant did knowingly use or possess a document prescribed by statute or regulation as evidence of authorized stay or employment in the United States, that is, a Social Security card in the name of "Julian Hernandez M," bearing an account number with the last four digits "0563," or a permanent resident card in the name of "Julian Hernandez-M," bearing an account number with the last three digits "371," which document the defendant knew had been forged, counterfeited, falsely made or otherwise unlawfully obtained.

Under Count 3, the Indictment charges that, on about May 19, 2008, in the Northern District of Iowa, in a matter within the jurisdiction of the Department of Homeland Security, Immigration and Customs Enforcement, an agency within the executive branch of the United States government, the defendant did knowingly and willfully make a false, fictitious or fraudulent material statement or representation, in that he claimed on an Immigration Form I-9 that he was a lawful permanent resident alien, when, in truth and in fact, the defendant knew that he was not a lawful permanent resident alien.

(CONTINUED)

INSTRUCTION NO. 12 (Cont'd)

Under Count 4, the Indictment charges that, on about April 16, 2012, in the Northern District of Iowa, the defendant did falsely and willfully represent himself to be a citizen of the United States, in that the defendant claimed to have been born in the United States in Texas, when the defendant knew that he was not born in Texas and was not and has never been a citizen of the United States.

Under Count 5, the Indictment charges that, on about April 16, 2012, in the Northern District of Iowa, in a matter within the jurisdiction of the Department of Homeland Security, Immigration and Customs Enforcement, an agency within the executive branch of the United States government, the defendant did knowingly and willfully make a false, fictitious or fraudulent material statement or representation, in that he claimed in an interview with a deportation officer that he had not been employed in the United States since 2005, when, in truth and in fact, the defendant knew that he had been employed in Waterloo, Iowa, continuously between May 2008 and February 2012.

The defendant has pleaded not guilty to each of these charges.

As I told you at the beginning of the trial, an indictment is simply an accusation. It is not evidence of anything. To the contrary, the defendant is presumed to be innocent. Thus the defendant, even though charged, begins the trial with no evidence against him. The presumption of innocence alone is sufficient to find the defendant not guilty and can be overcome only if the government proves, beyond a reasonable doubt, each element of the crimes charged.

Keep in mind that each count charges a separate crime. You must consider each count separately, and return a separate verdict for each count.

There is no burden upon the defendant to prove that he is innocent. Instead, the burden of proof remains on the government throughout the trial. Accordingly, the fact that the defendant did not testify must not be considered by you in any way, or even discussed, in arriving at your verdicts.

INSTRUCTION NO. 13

The crime of unauthorized use of a Social Security account number, as charged in Count 1 of the Indictment, has four elements, which are:

One, on about May 19, 2008, in the Northern District of Iowa, the defendant knowingly represented to someone that the Social Security account number with the last four digits “0563” was the account number lawfully assigned to him by the Commissioner of Social Security;

Two, such representation was false in that the Social Security account number ending in “0563” was not the Social Security account number assigned to the defendant by the Commissioner of Social Security;

Three, the defendant made the representation with the intent to deceive; and

Four, the defendant made the representation for the purpose of obtaining employment, a thing of value or for any other purpose.

To “act with intent to deceive” simply means to act deliberately for the purpose of misleading someone. The government does not have to prove that someone was actually misled or deceived.

If the government proves all of these elements beyond a reasonable doubt, then you must find the defendant guilty of the crime charged under Count 1. Otherwise, you must find the defendant not guilty of the crime charged under Count 1.

INSTRUCTION NO. 14

The crime of unlawful use or possession of a false identity document, as charged in Count 2 of the Indictment, has three elements, which are:

One, on about May 19, 2008, in the Northern District of Iowa, the defendant knowingly used or possessed a document prescribed by statute or regulation as evidence of authorized stay or employment, specifically:

- (a) a Social Security card in the name of “Julian Hernandez M,” bearing an account number with the last four digits “0563,” and/or
- (b) a permanent resident card in the name of “Julian Hernandez-M,” bearing an account number with the last three digits “371”;

Two, the document was forged, counterfeited, falsely made or otherwise unlawfully obtained; and

Three, the defendant knew that the document was forged, counterfeited, falsely made or otherwise unlawfully obtained.

If the government proves all of these elements beyond a reasonable doubt, then you must find the defendant guilty of the crime charged under Count 2. Otherwise, you must find the defendant not guilty of the crime charged under Count 2.

INSTRUCTION NO. 15

You may find the defendant guilty of Count 2 if you find that the defendant “used” or “possessed” a false identity document.

The law recognizes several kinds of “possession.” A person may have actual possession or constructive possession. A person may have sole or joint possession.

A person who knowingly has direct physical control over a thing, at a given time, is then in actual possession of it.

A person who, although not in actual possession, has both the power and the intention at a given time to exercise dominion or control over a thing, either directly or through another person or persons, is then in constructive possession of it.

If one person alone has actual or constructive possession of a thing, possession is sole. If two or more persons share actual or constructive possession of a thing, possession is joint.

Whenever the word “possession” has been used in these instructions it includes actual as well as constructive possession and also sole as well as joint possession.

INSTRUCTION NO. 16

You are further instructed that a Social Security card and a permanent resident card are documents prescribed by statute or regulation as evidence of authorized stay or employment. You must decide whether the defendant used or possessed a Social Security card in the name of “Julian Hernandez M,” bearing an account number with the last four digits “0563,” and whether the defendant used or possessed a permanent resident card in the name of “Julian Hernandez-M,” bearing an account number with the last three digits “371.” It is not necessary for the government to prove that the defendant used or possessed both of these documents. It would be sufficient if the government proves, beyond a reasonable doubt, that the defendant used or possessed one of these documents. In that event, to return a verdict of guilty on Count 2, you must unanimously agree which document the defendant used or possessed. If you are unable to unanimously agree on at least one document that the defendant used or possessed, you cannot find the defendant guilty of Count 2.

INSTRUCTION NO. 17

The crime of making a false, fictitious or fraudulent material statement or representation about a matter within the jurisdiction of an agency of the United States, as charged in Count 3 of the Indictment, has five elements, which are:

One, on about May 19, 2008, in the Northern District of Iowa, the defendant knowingly and intentionally made a statement or representation on an Immigration Form I-9 that he was a lawful permanent resident alien;

Two, the statement or representation was false, fictitious or fraudulent;

Three, the statement or representation concerned a material fact;

Four, the statement or representation was made about a matter within the jurisdiction of the Department of Homeland Security, Immigration and Customs Enforcement; and

Five, the defendant knew that it was untrue when he made the statement or representation.

A statement is “false” or “fictitious” if it was untrue when it was made. A statement is “fraudulent” if the defendant made it with the intent to deceive.

A “material fact” is a fact that would naturally influence or is capable of influencing a decision of the agency. Whether a statement or representation is “material” does not depend on whether the agency was actually deceived or misled.

You may find that the statement or representation was made about a matter within the jurisdiction of the Department of Homeland Security, Immigration and Customs Enforcement if you find that the Department of Homeland Security, Immigration and Customs Enforcement’s function includes determining whether an individual is a lawful permanent resident alien.

If the government proves all of these elements beyond a reasonable doubt, then you must find the defendant guilty of the crime charged under Count 3. Otherwise, you must find the defendant not guilty of the crime charged under Count 3.

INSTRUCTION NO. 18

The crime of making a false claim of United States citizenship, as charged in Count 4 of the Indictment, has four elements, which are:

One, on about April 16, 2012, in the Northern District of Iowa, the defendant claimed to be a citizen of the United States;

Two, at the time the defendant made the claim, he was not a citizen of the United States;

Three, the defendant made the false claim knowingly and willfully; and

Four, the defendant made the false claim to someone with good reason to inquire into the defendant's citizenship.

A "citizen of the United States" is someone born in the United States or granted citizenship through a judicial proceeding known as "naturalization." A person born outside the United States is a citizen if both parents were United States citizens and one of them had a residence in the United States before the birth.

An "alien" is a person who is not a citizen of the United States.

The Department of Homeland Security, Immigration and Customs Enforcement is responsible for controlling the entry of aliens into the United States. Officers of that agency are authorized to administer oaths, and to take and consider evidence about an alien's right or privilege to enter, reenter, pass through or remain in the United States.

If the government proves all of these elements beyond a reasonable doubt, then you must find the defendant guilty of the crime charged under Count 4. Otherwise, you must find the defendant not guilty of the crime charged under Count 4.

INSTRUCTION NO. 19

The crime of making a false, fictitious or fraudulent material statement about a matter within the jurisdiction of an agency of the United States, as charged in Count 5 of the Indictment, has five elements, which are:

One, on about April 16, 2012, in the Northern District of Iowa, the defendant knowingly and intentionally made a statement or representation in an interview with a deportation officer that the defendant had not been employed in the United States since 2005;

Two, the statement or representation was false, fictitious or fraudulent;

Three, the statement or representation concerned a material fact;

Four, the statement or representation was made about a matter within the jurisdiction of the Department of Homeland Security, Immigration and Customs Enforcement; and

Five, the defendant knew that it was untrue when he made the statement or representation.

The terms “false,” “fictitious,” “fraudulent” and “material fact” are defined in Instruction Number 17.

You may find that the statement or representation was made about a matter within the jurisdiction of the Department of Homeland Security, Immigration and Customs Enforcement if you find that the Department of Homeland Security, Immigration and Customs Enforcement’s function includes determining whether an individual is lawfully employed within the United States.

If the government proves all of these elements beyond a reasonable doubt, then you must find the defendant guilty of the crime charged under Count 5. Otherwise, you must find the defendant not guilty of the crime charged under Count 5.

INSTRUCTION NO. 20

Intent may be proven by circumstantial evidence. It rarely can be established by other means. While witnesses may see or hear and thus be able to give direct evidence of what a person does or fails to do, there can be no eyewitness account of the state of mind with which the acts were done or omitted. But what a defendant does or fails to do may indicate intent or lack of intent to commit an offense.

You may consider it reasonable to draw the inference and find that a person intends the natural and probable consequences of acts knowingly done, but you are not required to do so. As I have previously mentioned, it is entirely up to you to decide what facts to find from the evidence.

INSTRUCTION NO. 21

The government is not required to prove that the defendant knew that his acts or omissions were unlawful. An act is done “knowingly” if the defendant is aware of the act and did not act through ignorance, mistake or accident. You may consider the evidence of the defendant’s acts and words, along with other evidence, in deciding whether the defendant acted knowingly.

INSTRUCTION NO. 22

You will note that the Indictment charges that the offenses were committed “on about” certain dates. The government need not prove with certainty the exact dates or the exact time period of the offenses charged. It is sufficient if the evidence establishes that the offenses occurred within a reasonable time of the dates or period of time alleged in the Indictment.

INSTRUCTION NO. 23

Throughout the trial, you have been permitted to take notes. Your notes should be used only as memory aids, and you should not give your notes precedence over your independent recollection of the evidence.

In any conflict between your notes, a fellow juror's notes and your memory, your memory must prevail. Remember that notes sometimes contain the mental impressions of the note taker and can be used only to help you recollect what the testimony was. At the conclusion of your deliberations, your notes should be left in the jury room for destruction.

INSTRUCTION NO. 24

In conducting your deliberations and returning your verdicts, there are certain rules you must follow. I shall list those rules for you now.

First, when you go to the jury room, you must select one of your members as your foreperson. That person will preside over your discussions and speak for you here in court.

Second, it is your duty, as jurors, to discuss this case with one another in the jury room. You should try to reach an agreement if you can do so without violence to individual judgment, because your verdicts—whether guilty or not guilty—must be unanimous.

Each of you must make your own conscientious decision, but only after you have considered all the evidence, discussed it fully with your fellow jurors and listened to the views of your fellow jurors.

Do not be afraid to change your opinions if the discussion persuades you that you should. But do not come to a decision simply because other jurors think it is right or simply to reach your verdicts.

Third, if the defendant is found guilty, the sentence to be imposed is my responsibility. You may not consider punishment in any way in deciding whether the government has proved its case beyond a reasonable doubt.

Fourth, if you need to communicate with me during your deliberations, you may send a note to me, signed by one or more jurors. I will respond as soon as possible either in writing or orally in open court. Remember that you should not tell anyone—including me—how your votes stand numerically.

Fifth, your verdicts must be based solely on the evidence and on the law which I have given to you in my instructions. Each verdict, whether guilty or not guilty, must be unanimous. Nothing I have said or done is intended to suggest what your verdicts might be—that is entirely for you to decide.

INSTRUCTION NO. 25

Attached to these instructions you will find the Verdict Forms and Interrogatory Form. The Verdict Forms and Interrogatory Form are simply the written notices of the decisions that you reach in this case. The answers to the Verdict Forms and Interrogatory Form must be the unanimous decisions of the jury.

You will take the Verdict Forms and Interrogatory Form to the jury room, and when you have completed your deliberations and each of you has agreed to the answers to the Verdict Forms and Interrogatory Form, your foreperson will fill out the Verdict Forms and Interrogatory Form, sign and date them and advise the Court Security Officer that you are ready to return to the courtroom. Your foreperson should place the signed Verdict Forms and Interrogatory Form in the blue folder, which the court will provide you, and then your foreperson should bring the blue folder when returning to the courtroom.

Finally, members of the jury, take this case and give it your most careful consideration, and then without fear or favor, prejudice or bias of any kind, return the Verdict Forms and Interrogatory Form in accord with the evidence and these instructions.

April 2, 2013
Date

Linda R. Reade
Linda R. Reade, Chief Judge
United States District Court
Northern District of Iowa