

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF IOWA
EASTERN (DUBUQUE) DIVISION**

UNITED STATES OF AMERICA,

Plaintiff,

vs.

LUIS GONZALEZ-ESPARSA,

Defendant.

No. 18-CR-1035-CJW-MAR

JURY INSTRUCTIONS

Ladies and Gentlemen of the Jury:

In the next few moments, I am going to give you instructions about this case and about your duties as jurors. I will also give you additional instructions at a later time. Unless I specifically tell you otherwise, all instructions—both those I give you now and those I give you later—are equally binding on you and must be followed.

The instructions I am about to give you now are in writing and will be available to you in the jury room.

INSTRUCTION NO. 1

In considering these instructions, attach no importance or significance whatsoever to the order in which they are given.

INSTRUCTION NO. 2

This is a criminal case, brought against the defendant by the United States government. The charges are set forth in what is called an indictment.

Count One (1) of the Indictment charges that, on or about June 14, 2018, in the Northern District of Iowa, the defendant knowingly used a document prescribed by statute or regulation for entry into or as evidence of authorized stay or employment in the United States, that is, a permanent resident card bearing a number with the last three digits “667;” which document the defendant knew had been forged, counterfeited, falsely made, or otherwise unlawfully obtained.

Count Two (2) of the Indictment charges that, on or about June 14, 2018, in the Northern District of Iowa, the defendant, for any purpose including the purpose of completing W-4 income tax withholding forms, did, with the intent to deceive, falsely represent a number with the last four digits “1074” to be the social security account number lawfully assigned to him by the Commissioner of Social Security, when in fact the Commissioner of Social Security had not assigned such number to him.

The defendant has pleaded not guilty to these charges. Keep in mind that each count charges a separate crime. You must consider each count separately and return a separate verdict for each count.

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INSTRUCTION NO. 2 (Cont'd)

You are instructed that an indictment is simply an accusation. It is not evidence of anything. The defendant has pleaded not guilty and is presumed to be innocent unless and until proven guilty beyond a reasonable doubt. Thus, the defendant begins the trial with a clean slate, with no evidence against him. The presumption of innocence alone is sufficient to find the defendant not guilty and can be overcome only if the government proves during the trial, beyond a reasonable doubt, each element of the crimes charged.

There is no burden upon the defendant to prove that he is innocent. Instead, the burden of proof remains on the government throughout the trial. Accordingly, if the defendant does not testify, that fact must not be considered by you in any way, or even discussed, in arriving at your verdicts.

INSTRUCTION NO. 3

It will be your duty as jurors to decide from the evidence whether the defendant is guilty or not guilty of the crimes charged. From the evidence, you will decide what the facts are. You are entitled to consider the evidence in light of your own observations and experiences in the affairs of life. You may use reason and common sense to draw deductions or conclusions from those facts that have been established by the evidence. You will then apply those facts to the law that I give you in my instructions. You are the sole judges of the facts, but you must follow the law as stated in my instructions, whether you agree with it or not.

Do not allow sympathy or prejudice to influence you. The law demands of you just verdicts, unaffected by anything except the evidence, your common sense, and the law as I give it to you.

You should not take anything I may say or do during the trial as indicating what I think of the evidence or what I think your verdicts should be.

Finally, please remember that only this defendant, not anyone else, is on trial here, and that this defendant is on trial only for the crimes charged, not for anything else.

INSTRUCTION NO. 4

I have mentioned the word “evidence.” The “evidence” in this case consists of the following: the testimony of the witnesses, the documents and other things received as exhibits, and the facts that have been stipulated to—that is, formally agreed to by the parties.

You may use reason and common sense to draw deductions or conclusions from facts that are established by the evidence in the case.

Certain things are not evidence. I shall list those things for you now:

1. Statements, arguments, questions and comments by the lawyers are not evidence.
2. The fact that an interpreter is being used in this trial is not evidence.
3. Anything that might have been said by jurors, the attorneys or the judge during the jury selection process is not evidence.
4. Objections are not evidence. The parties have a right to object when they believe something is improper. You should not be influenced by objections. If I sustain an objection to a question, you must ignore the question and must not try to guess what the answer might be.
5. Testimony that I strike from the record, or tell you to disregard, is not evidence and must not be considered.
6. Anything you see or hear about this case outside of the courtroom is not evidence.

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INSTRUCTION NO. 4 (Cont'd)

During the trial, documents and objects may be referred to but not admitted into evidence. In such a case, these items will not be available to you in the jury room during deliberations.

Furthermore, a particular item of evidence is sometimes received for a limited purpose only. That is, it can be used by you only for one particular purpose, and not for any other purpose. I will tell you if this occurs, and I will instruct you on the purposes for which the item can and cannot be used.

INSTRUCTION NO. 5

There are two types of evidence from which a jury may properly find the truth as to the facts of a case: direct evidence and circumstantial evidence. Direct evidence is the evidence of the witnesses to a fact or facts of which they have knowledge by means of their senses. The other is circumstantial evidence—the proof of a chain of circumstances pointing to the existence or nonexistence of certain facts. The law makes no distinction between direct and circumstantial evidence. You should give all evidence the weight and value you believe it is entitled to receive.

INSTRUCTION NO. 6

The jurors are the sole judges of the weight and credibility of the testimony and of the value to be given to the testimony of each witness who testifies in this case. In deciding what the facts are, you may have to decide what testimony you believe and what testimony you do not believe. You may believe all of what a witness says, or only part of it, or none of it.

In deciding what testimony of any witness to believe, consider the witness's intelligence, the opportunity the witness had to see or hear the things testified about, the witness's memory, any motives that witness may have for testifying a certain way, the manner of the witness while testifying, whether that witness said something different at an earlier time, the general reasonableness of the testimony, and the extent to which the testimony is consistent with other evidence that you believe.

In deciding whether to believe a witness, keep in mind that people sometimes hear or see things differently, and sometimes forget things. You need to consider, therefore, whether a contradiction is an innocent misrecollection, a lapse of memory, or an intentional falsehood, and that may depend on whether the contradiction has to do with an important fact or only a small detail.

If the defendant decides to testify, you should judge his testimony in the same manner as you judge the testimony of any other witness.

INSTRUCTION NO. 7

In the previous instruction, I instructed you generally on the credibility of witnesses. I now give you this further instruction on how the credibility of a witness can be “impeached.”

A witness may be discredited or impeached by contradictory evidence, by showing that the witness testified falsely concerning a material matter, by showing that the witness has a motive to be untruthful, or by evidence that, at some other time, the witness has said or done something, or has failed to say or do something, that is inconsistent with the witness’s present testimony.

INSTRUCTION NO. 8

You may hear testimony that the defendant made a statement to law enforcement.

It is for you to decide:

First, whether the defendant made the statement, and

Second, if so, how much weight you should give to it.

In making these two decisions, you should consider all of the evidence, including the circumstances under which the statements may have been made.

INSTRUCTION NO. 9

Exhibits will be admitted into evidence and are to be considered along with all of the other evidence to assist you in reaching your verdicts. During your deliberations, you are not to tamper with the exhibits or their contents, and you should leave the exhibits in the jury room in the same condition as they were received by you.

INSTRUCTION NO. 10

The government and the defendant have stipulated—that is, they have agreed—that certain facts are as counsel will state to the jury. You must therefore treat those facts as having been proved.

INSTRUCTION NO. 11

Reasonable doubt is doubt based upon reason and common sense, and not doubt based on speculation. A reasonable doubt may arise from careful and impartial consideration of all of the evidence, or from a lack of evidence. Proof beyond a reasonable doubt is proof of such a convincing character that a reasonable person, after careful consideration, would not hesitate to rely and act upon that proof in life's most important decisions. Proof beyond a reasonable doubt is proof that leaves you firmly convinced of the defendant's guilt. Proof beyond a reasonable doubt does not mean proof beyond all possible doubt.

INSTRUCTION NO. 12

The crime of unlawful use of an identification document, as charged in Count One (1) of the Indictment, has three elements, which are:

One, on or about June 14, 2018, in the Northern District of Iowa, the defendant knowingly used a permanent resident card bearing an account number with the last three digits “667;”

Two, the defendant knew that the document had been forged, counterfeited, falsely made, or unlawfully obtained; and

Three, such cards are of the type prescribed by statute or regulation for entry into, or as evidence of authorized stay or employment in, the United States.

You are further instructed that a permanent resident card is a document prescribed by statute or regulation as evidence of authorized stay or employment in the United States, unless the card specifies on its face that the issuance of the card does not authorize employment in the United States.

For you to find the defendant guilty of this crime, the government must prove all of these elements beyond a reasonable doubt. Otherwise, you must find the defendant not guilty of the crime charged in Count One (1).

INSTRUCTION NO. 13

The crime of misuse of a social security number, as charged in Count Two (2) of the Indictment, has four elements, which are:

One, on or about June 14, 2018, in the Northern District of Iowa, the defendant knowingly represented a number with the last four digits “1074” to be the social security number assigned to him by the Commissioner of Social Security;

Two, at the time the number was used, the number had not been assigned by the Commissioner of Social Security to the defendant;

Three, when the defendant made this false representation, he acted with the intent to deceive; and

Four, when the defendant made this false representation, he did so for any purpose, including the purpose of completing W-4 income tax withholding forms.

To “act with intent to deceive” simply means to act with the purpose of misleading someone. The government does not have to prove that someone was actually misled or deceived.

For you to find the defendant guilty of this crime, the government must prove all of these elements beyond a reasonable doubt. Otherwise, you must find the defendant not guilty of the crime charged in Count Two (2).

INSTRUCTION NO. 14

The government is not required to prove that the defendant knew that his acts or omissions were unlawful. An act is done knowingly if the defendant is aware of the act and does not act through ignorance, mistake, or accident.

Knowledge may be proved like anything else. You may consider any acts done, or statements made, by the defendant in connection with the offenses, and all of the facts and circumstances in evidence that may aid in a determination of the defendant's knowledge.

INSTRUCTION NO. 15

You will note that the Indictment charges that the offenses were committed “on or about” a certain date. The government need not prove with certainty the exact dates of the offenses charged. It is sufficient if the evidence establishes that the offenses occurred within a reasonable time of the date alleged in the Indictment.

INSTRUCTION NO. 16

At the end of the trial, you must make your decisions based on what you recall of the evidence. You will not have a written transcript to consult. Therefore, you must pay close attention to the testimony as it is given.

If you wish, you may take notes during the presentation of evidence to help you remember what witnesses said. If you do take notes, please keep them to yourself until you and your fellow jurors go to the jury room to decide the case. Do not let note-taking distract you so that you do not hear other answers by the witnesses.

During deliberations, in any conflict between your notes, a fellow juror's notes, and your memory, your memory must prevail. Remember that notes sometimes contain the mental impressions of the note taker and can be used only to help you recollect what the testimony was.

Before we begin the evidence, we will give each juror an envelope with a pad and pen in it. The envelopes are numbered according to your seat in the jury box. When you leave for breaks or at night, please put your pad and pen in the envelope and leave the envelope on your chair. Your notes will be secured, and they will not be read by anyone. At the end of the trial and your deliberations, your notes should be left in the jury room for destruction.

INSTRUCTION NO. 17

During the trial, it may be necessary for me to talk with the lawyers out of the hearing of the jury, either by having a bench conference while the jury is present in the courtroom or by calling a recess. If a bench conference is held in the courtroom, we will switch on what we refer to as “white noise” so that the jurors cannot hear what is being said at the bench. While the bench conferences are being conducted, you should feel free to stand and stretch and visit amongst yourselves about anything except the case.

INSTRUCTION NO. 18

During the course of the trial, to ensure fairness, you as jurors must obey the following rules.

First, do not talk amongst yourselves about this case, or about anyone involved with it, until the end of the case when you go to the jury room to decide on your verdicts.

Second, do not talk with anyone else about this case, or about anyone involved with it, until the trial has ended and you have been discharged as jurors.

Third, do not use any electronic device or media, such as the telephone, a cell or smart phone, Blackberry, PDA, computer, the Internet, any Internet service, any text or instant messaging service, any Internet chat room, blog, or website such as Facebook, YouTube, or Twitter, to communicate to anyone any information about this case, or your opinions concerning it, until the trial has ended and you have been discharged as jurors.

Fourth, when you are outside of the courtroom, do not let anyone tell you anything about the case, or about anyone involved with it, until the trial has ended and your verdicts have been accepted by me. If someone should try to talk with you about the case during the trial, please report it to me through the Court Security Officer.

Fifth, during the trial, you should not talk with or speak to any of the parties, lawyers, or witnesses involved in this case—you should not even pass the time of day with any of them. It is important not only that you do justice in this case, but that you also give the appearance of doing justice. If a person from one side of the case sees you talking to a person from the other side—even if it is simply to pass the time of day—an unwarranted and unnecessary suspicion about your fairness might be aroused. If any lawyer, party, or witness does not speak to you when you pass in the hall or the like, it is because they are not supposed to talk or visit with you.

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INSTRUCTION NO. 18 (Cont'd)

Sixth, do not read any news stories or articles about the case, or about anyone involved with it, or listen to any radio or television reports about the case, or about anyone involved with it. In fact, until the trial is over, I suggest that you avoid reading any newspapers or news journals at all, and avoid listening to any TV or radio newscasts at all. I do not know whether there might be any news reports of this case, but, if there are, you might inadvertently find yourself reading or listening to something before you could do anything about it. If you want, you can have your spouse or a friend clip out any stories and set them aside to give you after the trial is over. I can assure you, however, that by the time you have heard the evidence in this case you will know more about the matter than anyone will learn through the news media.

Seventh, do not do any research or make any investigation about the case on your own. Do not consult any reference materials such as the Internet, books, magazines, dictionaries, or encyclopedias. Do not contact anyone to ask them questions about issues that may arise in this case. Remember, you are not permitted to talk to anyone (except your fellow jurors) about this case or anyone involved with it until the trial has ended and I have discharged you as jurors.

Eighth, do not make up your mind during the trial about what the verdicts should be. Keep an open mind until after you have gone to the jury room to decide the case and you and your fellow jurors have discussed the evidence.

INSTRUCTION NO. 19

The trial will proceed in the following manner:

First, the attorney for the government will make an opening statement. Next, the attorney for the defendant may, but does not have to, make an opening statement. An opening statement is not evidence, but is simply a summary of what the attorneys expect the evidence to be.

The government will then present its evidence, and the attorney for the defendant may cross-examine the government's witnesses. Following the government's case, the defendant may, but does not have to, present evidence, testify, or call other witnesses. If the defendant calls witnesses, the attorney for the government may cross-examine them.

After presentation of evidence is completed, the attorneys will make their closing arguments to summarize and interpret the evidence for you. As with opening statements, closing arguments are not evidence. After that, the Court will instruct you further regarding your deliberations, and you will retire to deliberate on your verdicts.

Date

C.J. Williams
United States District Judge
Northern District of Iowa

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF IOWA
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UNITED STATES OF AMERICA,

Plaintiff,

vs.

LUIS GONZALEZ-ESPARSA,

Defendant.

No. 18-CR-1035-CJW-MAR

FINAL JURY INSTRUCTIONS

Ladies and Gentlemen of the Jury:

The instructions I gave you at the beginning of the trial and during the trial remain in effect. I will now give you some additional instructions before you begin your deliberations.

You must, of course, continue to follow the instructions I gave you earlier, as well as those I give you now. You must not single out some instructions and ignore others, because all are important. This is true even though the instructions I gave you at the beginning of and during trial are not repeated here.

INSTRUCTION NO. 20

In conducting your deliberations and returning your verdicts, there are certain rules you must follow. I shall list those rules for you now.

First, when you go into the jury room, you must select one of your members as your foreperson. That person will preside over your discussions and speak for you here in court.

Second, it is your duty, as jurors, to discuss this case with one another in the jury room. You should try to reach agreement, if you can do so, without violence to individual judgment, because your verdicts—whether guilty or not guilty—must be unanimous.

Each of you must make your own conscientious decisions, but only after you have considered all of the evidence, discussed it fully with your fellow jurors, and listened to the views of your fellow jurors.

Do not be afraid to change your opinions if your discussion persuades you that you should. But do not come to a decision simply because other jurors think it is right or simply to reach your verdicts.

Third, if you find the defendant guilty, the sentence to be imposed is my responsibility. You may not consider punishment in any way when deciding whether the government has proved its case beyond a reasonable doubt.

Fourth, if you need to communicate with me during your deliberations, you may send a note to me through the Court Security Officer, signed by one or more jurors. I will respond as soon as possible either in writing or orally in open court. Remember that you should not tell anyone—including me—how your votes stand numerically.

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INSTRUCTION NO. 20 (Cont'd)

Fifth, your verdicts must be based solely on the evidence and on the law that I have given to you in my instructions. Your verdicts, whether guilty or not guilty, must be unanimous. Nothing I have said or done is intended to suggest what your verdicts should be—that will be entirely for you to decide.

INSTRUCTION NO. 21

Attached to these instructions you will find the Verdict Forms. The Verdict Forms are simply the written notices of the decisions that you will reach in this case. The answers to the Verdict Forms must be the unanimous decisions of the Jury.

You will take the Verdict Forms to the jury room, and when you have completed your deliberations and each of you has agreed to the answers to the Verdict Forms, your foreperson will fill out the Verdict Forms, sign and date them, and advise the Court Security Officer that you are ready to return to the courtroom. Your foreperson should place the signed Verdict Forms in the blue folder, which the Court will provide you. Then, your foreperson will bring the blue folder when returning to the courtroom.

Finally, members of the Jury, take this case and give it your most careful consideration, and then without fear or favor, prejudice or bias of any kind, return the Verdict Forms in accord with the evidence and these instructions.

Date

C.J. Williams
United States District Judge
Northern District of Iowa

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF IOWA
EASTERN (DUBUQUE) DIVISION**

UNITED STATES OF AMERICA,

Plaintiff,

vs.

LUIS GONZALEZ-ESPARSA,

Defendant.

No. 18-CR-1035-CJW-MAR

**VERDICT FORM
COUNT ONE (1)**

We, the Jury, unanimously find the defendant, LUIS GONZALEZ-ESPARSA,
_____ of the crime charged in Count One (1) of the Indictment.

(Not Guilty/Guilty)

NOTE: If you unanimously find the defendant not guilty of the above crime, have your foreperson write “not guilty” in the above blank space, and sign and date this Verdict Form. Then, go on to answer the Verdict Form relating to Count Two (2).

If you unanimously and beyond a reasonable doubt find the defendant guilty of the above crime, have your foreperson write “guilty” in the above blank space, and sign and date this Verdict Form. Then, go on to answer the Verdict Form relating to Count Two (2).

FOREPERSON

DATE

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF IOWA
EASTERN (DUBUQUE) DIVISION**

UNITED STATES OF AMERICA,

Plaintiff,

vs.

LUIS GONZALEZ-ESPARSA,

Defendant.

No. 18-CR-1035-CJW-MAR

**VERDICT FORM
COUNT TWO (2)**

We, the Jury, unanimously find the defendant, LUIS GONZALEZ-ESPARSA,
_____ of the crime charged in Count Two (2) of the Indictment.

(Not Guilty/Guilty)

NOTE: If you unanimously find the defendant not guilty of the above crime, have your foreperson write “not guilty” in the above blank space, and sign and date this Verdict Form.

If you unanimously and beyond a reasonable doubt find the defendant guilty of the above crime, have your foreperson write “guilty” in the above blank space, and sign and date this Verdict Form.

FOREPERSON

DATE